

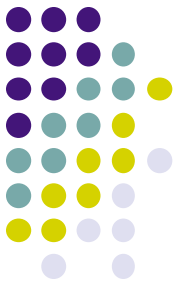
# ROSE Program



## Regulatory Outreach for Student Education

February 2, 2015





# Regulation as Ongoing Conversation

## Once upon a time...

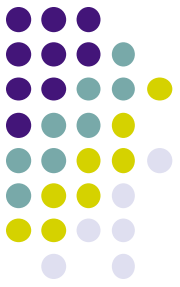
- Market supervisors handed down rules by edict and without input from practitioners

## Today...

- Regulatory reform is a collaboration
  - A dialog of mutual respect for the opinions of all stakeholders in the capital markets.

**The ROSE Program embodies this process of regulatory outreach by placing students as informed practitioners**

# Executive Director, CFSME



## Ed Blount

- Inductee, *Securities Services Hall of Fame*
- Contributing editor, *ABA Banking Journal*
- 35 years in banking industry, including stints with Citibank, Bankers Trust, and ASTEC Consulting
- FCRH '69
- Member, President's Council, Fordham University

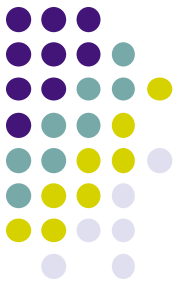


# Faculty Advisor

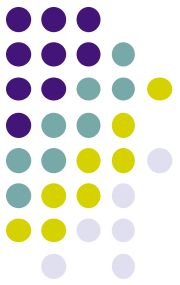
**Benjamin M. Cole, Ph.D.**  
Associate Professor  
Management Systems

## Office

1790 Broadway, Suite 1127  
[bmcole@fordham.edu](mailto:bmcole@fordham.edu)



# Teams

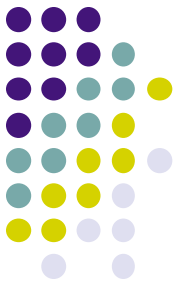


## FCLC

- Israel Munoz - [imunoz4@fordham.edu](mailto:imunoz4@fordham.edu)
- Aleksandra Antolak - [aantolak@fordham.edu](mailto:aantolak@fordham.edu)
- Nalina Bhasin - [nbhasin@fordham.edu](mailto:nbhasin@fordham.edu)

## FCRH

- Gerard del Giacco - [gdelgiacco@fordham.edu](mailto:gdelgiacco@fordham.edu)
- Leonard Sello Mmofsoa - [Immofsoa@fordham.edu](mailto:Immofsoa@fordham.edu)
- Ori Raz - [oraz@fordham.edu](mailto:oraz@fordham.edu)
- Fanni Liu - [fliu14@fordham.edu](mailto:fliu14@fordham.edu)
- Sarah Antony - [santony@fordham.edu](mailto:santony@fordham.edu)



# Teams

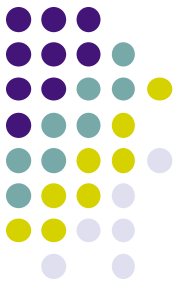
## GSB

- Brandon Dempster - [bdempster@fordham.edu](mailto:bdempster@fordham.edu)
- Patrick Fuery - [pfuery@fordham.edu](mailto:pfuery@fordham.edu)
- Parthiv Vikram Neotia - [pneotia1@fordham.edu](mailto:pneotia1@fordham.edu)
- Joseph Gorman - [jgorman13@fordham.edu](mailto:jgorman13@fordham.edu)

## GBA - MS

- Kimmy (Lei) Feng - [lfeng7@fordham.edu](mailto:lfeng7@fordham.edu)
- Chrystal (Wei) Zhou - [wzhou11@fordham.edu](mailto:wzhou11@fordham.edu)
- Yujie Ding - [yding28@fordham.edu](mailto:yding28@fordham.edu)
- Christian Neira - [cneira2@fordham.edu](mailto:cneira2@fordham.edu)

# Teams



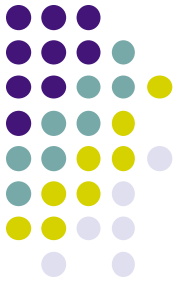
## GBA - MBA

- Ariana Tibaldi - [atibaldi1@fordham.edu](mailto:atibaldi1@fordham.edu)
- Tricia Schwerdtman - [pschwerdtman@fordham.edu](mailto:pschwerdtman@fordham.edu)
- Andrea Giuca - [andrea.piscitell@gmail.com](mailto:andrea.piscitell@gmail.com)
- Michelle (Lingjie) Xin - [lxin1@fordham.edu](mailto:lxin1@fordham.edu)
- YinLing Zhu - [yzhu55@fordham.edu](mailto:yzhu55@fordham.edu)

S	M	T	W	Th	F	S
2/1	<b>2/2 KICK-OFF</b>	2/3	2/4	2/5	2/6	2/7
2/8	2/9	2/10	2/11	2/12	2/13	2/14
2/15	<del>2/16 PRES DAY</del>	2/17	2/18	2/19	2/20	2/21
2/22	2/23	2/24	2/25	2/26	2/27	2/28
3/1	3/2	3/3	3/4	3/5	3/6	3/7
3/8	3/9	3/10	3/11	3/12	3/13	3/14
3/15	<del>3/16 SPRING BREAK</del>	<del>3/17 SPRING BREAK</del>	<del>3/18 SPR BRK</del>	<del>3/19 SPR BRK</del>	<del>3/20 SPR BRK</del>	<del>3/21</del>
3/22	3/23	3/24	<b>3/25 DRY RUNS</b>	3/26	3/27	3/28
3/29	3/30	3/31	4/1	<del>4/2 EASTER</del>	<del>4/3 EASTER</del>	<del>4/4</del>
4/5	<del>4/6 EASTER</del>	4/7	<b>4/8 PRESENTATION DAY</b>	4/9	4/10	4/11
4/12	4/13	4/14	4/15	4/16	4/17	4/18
4/19	4/20	4/21	<b>4/22 WASHINGTON DC TRIP</b>	4/23	4/24	4/25
4/26	4/27	4/28	4/29	4/30	5/1	5/2



# Important Dates



- April 22 (Wed): Washington, D.C. Trip